

Substitute for Form 1449/PTO				Complete if Known	
<div style="display: flex; align-items: center; justify-content: center;"> <div style="border: 2px solid black; border-radius: 50%; padding: 10px; margin-right: 10px; text-align: center;"> OCT 27 2005 PATENT & TRADEMARK OFFICE </div> <div> <h2 style="margin: 0;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="margin: 0; font-size: small;">(use as many sheets as necessary)</p> </div> </div>				Application Number	10/785,553
				Filing Date	02/23/2004
				First Named Inventor:	Christian Lowe
				Art Unit	3764
				Examiner Name	Not yet assigned
Sheet	of	2	Attorney Docket Number	6701P034C	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
/MB/		US-	3,042,030	07-03-1962	T. Read	
		US-	5,244,096	09-14-1993	Stoner	
		US-	5,346,498	09-13-1994	Greelis et al.	
		US-	5,389,100	02-14-1995	Bacich et al.	
		US-	5,601,600	02-11-1997	Ton	
		US-	5,935,137	08-10-1999	Saadat et al.	
		US-	6,096,052	08-01-2000	Callister et al.	
		US-	6,143,007	11-07-2000	Mariant et al.	
		US-	6,145,505	11-14-2000	Nikolchev et al.	
		US-	6,176,240 B1	01-23-2001	Nikolchev et al.	
		US-	6,432,116 B1	08-13-2002	Callister et al.	
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Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
/MB/		GB	2 010 728 A		07-04-1979	Richard Wolf GmbH		
		WO	96/40023		12-19-1996	Conceptus, Inc.		
		WO	96/40024		12-19-1996	Conceptus, Inc.		
		WO	97/08997		03-13-1997	Conceptus, Inc.		
		WO	99/15116		04-01-1999	Conceptus, Inc.		
	WO	00/13624		03-16-2000	Ovion, Inc.			

Examiner Signature	/Michael Brown/	Date Considered	12/14/2007
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the Indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-8199 (1-800-786-8199) and select option 2.



PTO/SB/08A (08-03)

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet **1** of **3****Complete If Known**

Application Number	10/785,553
Filing Date	February 23, 2004
First Named Inventor	LOWE, CHRISTIAN
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	016355-003920US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/MB/	1	3,561,438	02-09-1971	Canel	
	2	3,687,129	08-29-1972	Nuwayser	
	3	3,774,600	11-27-1973	Cognat	
	4	3,805,767	04-23-1974	Erb	
	5	3,858,571	01-07-1975	Rudolph	
	6	3,858,586	01-07-1975	Lessen	
	7	3,895,634	07-22-1975	Berger et al.	
	8	3,973,560	08-10-1976	Emmett	
	9	4,057,063	11-08-1977	Gieles et al.	
	10	4,111,196	09-05-1978	Emmett	
	11	4,185,618	01-29-1980	Corey	
	12	4,246,896	01-27-1981	Home, Jr. et al.	
	13	4,353,363	10-12-1982	Sopena Quesada	
	14	4,365,621	12-28-1982	Brundin	
	15	4,416,660	11-22-1983	Dafoe	
	16	4,509,504	04-09-1985	Brundin	
	17	4,579,110	04-01-1986	Hamou	
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	19	4,606,336	08-19-1986	Zeluff	
	20	4,612,924	09-23-1986	Cimber	
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	22	4,638,803	01-27-1987	Rand	
	23	4,700,701	10-20-1987	Montaldi	
	24	4,727,866	03-01-1988	Livesay et al.	
	25	4,788,966	12-06-1988	Yoon	
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	27	4,994,069	02-19-1991	Ritchart et al.	
	28	5,065,751	11-19-1991	Wolf	
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	30	5,176,692	01-05-1993	Wilk et al.	
	31	5,192,301	03-09-1993	Kamiya et al.	
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	36	5,261,916	11-16-1993	Engelson	
	37	5,304,194	04-19-1994	Chee et al.	
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	40	5,354,295	10-11-1994	Guglielmi et al.	
	41	5,354,309	10-11-1994	Schnepp-Pesch et al.	

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60207529 v1

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	10/785,553
				Filing Date	February 23, 2004
				First Named Inventor	LOWE, CHRISTIAN
				Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet	2	of	3	Attorney Docket Number	016355-003920US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
/MB/	42	5,382,259	01-17-1995	Phelps et al.	
↓	43	5,458,636	10-17-1995	Brancato	
	44	5,474,089	12-12-1995	Waynant	
	45	5,499,995	03-19-1996	Teirstein	
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	48	5,556,396	09-17-1996	Cohen et al.	
	49	5,562,641	10-08-1996	Flomemblit et al.	
	50	5,582,619	12-10-1996	Ken	
	51	5,630,797	05-20-1997	Diedrich et al.	
	52	5,755,773	05-26-1998	Evans et al.	
	53	5,843,158	12-01-1998	Lenker et al.	
	54	RE 29,345	08-09-1977	Erb	
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Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
/MB/	55	CN	1047447A		05-12-1990			<input type="checkbox"/>
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	58	EP	0 010 812	A1	05-14-1980			<input type="checkbox"/>
	59	EP	0 891 757	A2	01-20-1999			<input type="checkbox"/>
	60	GB	2 038 186		07-23-1980			<input type="checkbox"/>
	61	GB	2 221 095		06-28-1989			<input type="checkbox"/>
	62	NL	7810696		04-29-1990			<input type="checkbox"/>
	63	WO	97/49345	A1	12-31-1997			<input type="checkbox"/>
	64	WO	99/15116	A1	04-01-1999			<input type="checkbox"/>
	65	WO	93/08884		04-15-1993			<input type="checkbox"/>
	66	WO	94/06503		03-31-1994			<input type="checkbox"/>
	67	WO	94/10936		05-26-1994			<input type="checkbox"/>
	68	WO	94/11051		05-26-1994			<input type="checkbox"/>
	69	WO	98/26737		06-25-1998			<input type="checkbox"/>
	70	WO	98/55046		12-10-1998			<input type="checkbox"/>
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60207529 v1

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known		
			Application Number	10/785,553	
			Filing Date	February 23, 2004	
			First Named Inventor	LOWE, CHRISTIAN	
			Art Unit	Unassigned	
			Examiner Name	Unassigned	
Sheet	3	of	3	Attorney Docket Number	016355-003920US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/MB/	71	Brueschke, E.E., et al., "Transcervical tubal occlusion with a steerable hysteroscope: Implantation of devices into extirpated human uteri," <u>Am. J. Obstet. Gynecol.</u> , Vol. 127, No. 2, pp. 118-124, 1977.	
	72	Brundin, J., "Transcervical sterilization in the human female by ysteroscopic application of hydrogelic occlusive devices into the intramural parts of the Fallopian tubes: 10 years experience of the P-block," <u>European Journal of Obstetrics & Gynecology and Reporductive Biology</u> , Vol. 39, pp. 41-49, 1991.	
	73	Complete Chinese-to-English translation of Chinese Patent Publication No. CN 1047447A.	
	74	Conceptus Annual Report (1995) pp. 3,5,7,9,13-14, and 22.	
	75	Conceptus Annual Report (1996) pp. x,3,9, and 13-14.	
	76	Erb, R.A. et al., "Hysteroscopic Oviductal Blocking with Formed-in-Place Silicone Rubber Plugs," <u>The Journal of Reproductive Medicine</u> , pp. 65-68, August, 1979.	
	77	Gordon, A.G., et al., <u>Atlas of Gynecologic Endoscopy</u> , Mosby-Wolfe Press, 2nd Edition, 1995 (Title Page and Table of Contents are enclosed herewith).	
	78	Gupta, D.N. et al., "Antifertility Effect of an Intrafallopian Tubal Copper Device," <u>Indian J. Exp. Biol.</u> , Vol. 14, pp. 316-319, May, 1976.	
	79	Hamou, J. et al., "Hysteroscopic Reversible Tubal Sterilization," <u>ACTA Europaea Fertilitatis</u> , Vol. 15, No. 2, 1984.	
	80	Reed, T.P. et al., "Tubal Occlusion with Silicone Rubber," <u>The Journal of Reproductive Medicine</u> , pp. 25-28, July, 1980.	
	81	Ross, P.L. et al., "Transcatheter Tubal Sterilization in Rabbits," <u>Investigative Radiology</u> , Vol. 29, No. 5, pp. 570-573, 1994.	
	82	Sciarra, J.J., et al., eds., <u>Advances in Female Sterilization Techniques</u> , Harper & Row, Publishers, 1976, Title Page and Table of Contents are enclosed herewith, pp. 169-181, 186-189.	
	83	Steptoe, P.C., "The Potential Use of Intrauterine Stents for Reversible Sterilization," <u>Hysteroscopy</u> , pgs. 91-99, circa 1976.	
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